

2001 ANNUAL REPORT

working partnerships

"The Woods Fund was the first foundation to take a chance on us — a statewide organizing project. They taught us how to have a partnership with a foundation. Woods Fund staff helped us to refine our vision, and we have stayed in frequent contact over time. The partnership helped us to develop the credibility we needed to approach other foundations."

LINDA LAFORGUE
CITIZEN ACTION ILLINOIS

woods
fund of chicago

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a brief **history**
of the foundation

Woods Fund of Chicago

is a private philanthropic foundation that makes grants in metropolitan Chicago, Illinois. It was incorporated in Illinois on November 16, 1993, as a nonprofit corporation and began operation in January 1994 when it received funding from a division of the assets of Woods Charitable Fund, Inc., a foundation that formerly had offices and made grants in both Chicago, Illinois and Lincoln, Nebraska and that now operates only in Nebraska. Woods Charitable Fund was established by gifts from Frank H. Woods, his wife Nelle Cochrane Woods, and their three sons. □

mission

Woods Fund of Chicago is a grantmaking foundation whose goal is to increase opportunities for less advantaged people and communities in the metropolitan area, including the opportunity to shape decisions affecting them.

The foundation works primarily as a funding partner with nonprofit organizations. Woods supports nonprofits in their important roles of engaging people in civic life, addressing the causes of poverty and other challenges facing the region, promoting more effective public policies, reducing racism and other barriers to equal opportunity, and building a sense of community and common ground. □

strategic objectives

In March 2002, our board approved five strategic objectives, some based on practices recognized for their success in the past and some representing new directions for us, to guide the grant-making of the Woods Fund over the next several years. They are:

- 1 Focus more staff time and grant dollars on grantmaking in the two major program areas, Community Organizing and Public Policy, with some broadening of guidelines in these areas.*
- 2 Connect the Community Organizing and Public Policy program areas at opportune moments to build constituencies for policy change.*
- 3 Encourage and support staff initiative in facilitating the development of grantmaking opportunities and projects to achieve Woods Fund's mission.*
- 4 Focus on learning by increasing emphasis on critical review of projects, identification of successful strategies to increase opportunities for the less advantaged and sharing what we learn with the grantee and funding communities.*
- 5 Make fewer grants—and in some instances larger ones—to increase each single grant's impact in furthering the work of effective organizations and to support the costs of collaboration and the reflective learning that Woods requires of grantees.*

During 2002, we actively sought the advice of current and prospective grantees, other members of the nonprofit community, policymakers and community leaders about how best to implement our strategic objectives. This combination of more focused grantmaking, greater attention to participatory approaches, and shared learning will strengthen our capacity to achieve our mission. We believe that this strategic approach to grantmaking will create more effective working partnerships with and among our grantees and help us all to generate and use better data on “what works” to increase opportunities for the less advantaged.

The program highlights featured in this report provide an opportunity for us to showcase “what works.” They incorporate what Woods Fund has learned from grantees about the importance of linking participatory approaches with public policy and about the importance of investing in collaboration. Through these and similar grants our strategic objectives guide us as we translate our mission into action. □

who

we are

directors

HOWARD J. STANBACK*

Manager, New Kenwood, LLC

Board Chair

MARIA G. VALDEZ*

*Attorney-at-Law, Mexican American Legal Defense
and Education Fund*

Board Vice Chair

WILLIAM C. AYERS

*Distinguished Professor of Education,
University of Illinois at Chicago*

CYNTHIA M. CAMPBELL

President, McCormick Theological Seminary

R. EDEN MARTIN

President, Civic Committee and the Commercial Club

BARACK OBAMA

State Senator and Lecturer, University of Chicago School of Law

CHARLES N. WHEATLEY

President, Sahara Enterprises, Inc.

LUCIA WOODS LINDLEY

Director Emeritus

current staff

RICARDO A. MILLETT*

President

SUZANNE BOYLE*

Treasurer

DEBORAH D. CLARK

Grants and Operations Manager

DEBORAH HARRINGTON

Program Director

JEFFREY PINZINO

Program Officer

PHILLIP THOMAS

Program Officer



Photos by Greg, Inc.

Left to right:

*Deborah Harrington, Ricardo A. Millett,
Suzanne Boyle, Jeffrey Pinzino, Deborah D. Clark,
and Phillip Thomas*

officers*

KRISTIN PATTON*

Secretary

a letter
from the president

renewed commitment

INCREASING THE PARTICIPATION OF THE LESS ADVANTAGED IN PUBLIC POLICY

Following years of decline, poverty rates are rising. The number of Illinois families living at or below the poverty line is now increasing. More people are working but still live in poverty. The high cost and decreasing availability of rental housing makes the hardship on the poor even worse. These findings in a recent Illinois Poverty Summit, *Report on Poverty*, are the most startling and horrific in years. Poverty grows at a scandalous rate while wealth increasingly accumulates in the hands of fewer and fewer individuals. Indeed, lack of access to health care, affordable housing, decent jobs with living wages and benefits, good nutrition, early childhood education, and, in general, high-quality education, poses enormous obstacles to overcoming poverty and its impact. In light of these discouraging developments, what to do?

Can the more participatory approaches to community organization and public policy development that the Woods Fund of Chicago has espoused for years lead to more effective poverty reduction interventions? We believe they can. As our new strategic objectives (see p. 3) indicate, we plan to pursue them with increased commitment and vigor and with special focus on what we can learn from grantees who meld participatory approaches with policy development and advocacy.

Sustainable solutions to social and economic inequalities must derive from a process that mines the experiences, insights and wisdom of the less advantaged. The best informed, planned policies and programs to eliminate poverty require the best minds and hearts, not only of our best credentialed researchers and practitioners, but of those who live with the problems every minute of every day. Yet program efforts and public policies to achieve the Woods Fund core objective, the reduction of poverty, too often exclude input from intended beneficiaries. The fact is, we in the foundation world know little about how to foster the participation of the less advantaged and how to build their capacity to participate.

Addressing this challenge lies at the core of our mission and grantmaking strategy. We invite all colleagues in the philanthropic, nonprofit, public and private sectors, to join us in seeking processes, programs, constituency building strategies and public policy campaigns that are increasingly informed by the less advantaged, the intended beneficiaries. Woods Fund's staff and board have recommitted to emphasize participatory approaches. We have added a commitment to make learning about what and how and why participatory strategies are successful in creating the best communities and crafting the best public policies. Through new requirements in our guidelines and a significant agenda for learning, we hope to move beyond intuition to articulation of specific models, mechanisms and strategies to increase participation of the less advantaged in community building and policy making.

In this spirit of learning, we highlight two successful grantee experiences at the intersection of community organizing and public policy in the pages that follow. They demonstrate the success of public policy efforts that involve strong participatory approaches on two very different issues, health care and predatory lending. Together, these cases highlight insights that we must continually mine and incorporate into our programmatic efforts and our grantmaking. Moving forward, Woods Fund wants to continuously "learn while we do" programming that elevates the role and importance of the less advantaged in public policy development. We will invest in participation and we urge our grantees and colleagues to participate with us in a learning process to determine and document strategies that work to reduce poverty and its impact. □

A handwritten signature in dark ink, appearing to read "Sarah C. Miller". The signature is fluid and cursive, with a large initial "S" and a long, sweeping underline.

the family care campaign

A PRODUCTIVE COLLABORATION BETWEEN A COMMUNITY ORGANIZATION AND AN ADVOCACY ORGANIZATION

A dozen children, using little red wagons, deliver 70,000 postcards to Governor Ryan expressing support for FamilyCare, a health insurance expansion that will provide coverage for their uninsured working parents. News photographers and reporters cover the event and give FamilyCare excellent favorable coverage.

A bipartisan group of legislators, administrators and Governor's office policy staff are convened to work out an agreed program model for FamilyCare, clearing away partisan issues and reducing the debate to a clean issue of funding.

Hundreds of community residents walk door-to-door in key precincts of city and suburban northwest and southwest side legislative districts to make clear the political impetus behind FamilyCare. One delegation, including a state senator's own parish priest, is thrown out of the senator's office after expressing discontent over his refusal to back FamilyCare.

The Ryan Administration proposes and wins General Assembly approval for the launching of FamilyCare in the midst of the worst state budget crisis in two generations, wins approval for an ambitious waiver proposal that secures federal FamilyCare funding amidst very tricky political currents in Washington, and launches the program in October 2002.

FamilyCare is an initiative with many, widely varied, supporting groups that have played and continue to play key roles. The website of the National Center on Poverty Law contains materials about FamilyCare including a full list of supporting organizations. All made important contributions. This narrative deals with one important relationship within that wider effort, because it contains lessons that may be useful for successful collaborations between community organizations and policy advocates.

In October 2002, 30,000 working poor parents became eligible for health insurance in Illinois through a newly created program called FamilyCare. In the midst of scandals, a high-stakes election year, and unprecedented budget shortfalls, this was an unlikely outcome. It was the result of a very successful collaboration between organizing and advocacy groups.

Collaboration between community organizations and advocacy groups can be an immense help to both kinds of organizations in accomplishing goals that they share, but they do not routinely happen. Community organizations can offer important assets: powerful human stories to legitimize and portray the issues in the media and other forums, practical insights on public policy problems and possible solutions, the ability to “fill a room”, grassroots district-level political power, and sets of relationships with other groups and individuals. Policy advocates can offer complementary assets: technical knowledge of subject matter, funding streams and the policymaking process, strategic and tactical experience and judgment in public policy campaigns, relationships with key administrators and policymakers, credibility, allies and other relationships. Good community organizers understand how constituency, conflict, power and media can advance a public policy campaign. Good policy advocates possess strategic and tactical knowledge for advancing a specific issue. However, the bridging of these two “cultures” is difficult, and it requires the building of strong relationships between individuals who perceive the value. The effort is worth it, because the collaboration of such highly complementary forces can greatly enhance opportunities to accomplish change.

The Chicago-based National Center on Poverty Law (NCPL) is the lead advocacy organization on the FamilyCare initiative. United Power for Action and Justice is a metropolitanwide citizens organization made up of over 300 dues-paying member organizations, including congregations, religious bodies, neighborhood organizations and unions that became the most important grass roots supporter of FamilyCare in Cook County and a co-leader of the statewide effort.

NCPL derives its agenda from numerous sources of community information, and high on the list of problems in 1999 and 2000 was the lack of health care for low-wage working people. NCPL conducted policy research, devised several schemes for attracting federal funds, identified precedents from other states, convened a bipartisan working group of legislators, administrators and policy people from the Governor's office to devise an agreed-upon model for the program (laying aside for that purpose the matter of whether and how to fund it), drafted model legislation, and began the issue-organizing to build a coalition in support of the initiative. NCPL and the growing ad hoc coalition promoted the issue in the Spring session of 2000, knowing it would not pass but using it as an opportunity to begin debate and informational activity and work the issue up the scale of Ryan Administration priorities.

At about the same time United Power, a new Chicago-area organizing effort that had been building strength in the late 90's, had marshaled its members for a metropolitan-wide process aimed at setting priorities for putting its citizen-based resources into action to produce meaningful change. One of the metropolitan-wide issues chosen for focus was the widespread lack of health insurance and resulting lack of access to health care. United Power developed a multifaceted approach to this problem, and one of the key features of the strategy was an expansion of government-supported health insurance for a large group of working poor. In support of this decision, United Power began to assemble research on the lack of insurance coverage problem in Chicago and Illinois and to assemble important and often "unlikely" allies, including the Advocate Health System and Blue Cross/Blue Shield. At this point, the Health Task Force of United Power, which contained some organizations and individuals familiar with NCPL's issue-organizing on FamilyCare, began to look at FamilyCare as a potential means to fulfill the organization's goal of accomplishing a significant expansion of health insurance.

Through a process of initial conversations and, more importantly, constant attention to the relationship, the two organizations developed an alliance. United Power and NCPL thought through together the composition of a mutually agreeable strategy committee of allied interest groups to steer the campaign. NCPL led the committee, but all important strategic decisions were made jointly. This provided the campaign with the important strategic center, while cementing it with a growing trust. This was a sharing of United Power's "ownership" of the issue developed in its own discernment process, a step not taken lightly by organizations because it contains the risk of losing public identification of the organization with the issue. United Power also ran the risk of not being able to develop longer term relationships with elected officials based on its principle of creative "tension", since NCPL and the other allies might prefer more conciliatory tactics at some points in the process as being more strategic for the immediate purpose. For its part, NCPL learned about the need to accord a full measure

of public ownership and stature to United Power in shared events and actions, as well as respect for its leadership within the larger FamilyCare coalition. For NCPL, an organization dependent upon contributions and grants based in large part on its identification with successful policy advocacy, this was a sacrifice of some of its essential capital. It was also a risk that United Power might pursue tactics not conducive to the consensus-building deemed necessary to win passage of FamilyCare.

Through constant reevaluation and communication, both organizations consistently concluded that the relationship was worth the risks and sacrifices. Both sides delivered on promises, so the other side's trust was rewarded, and the rewards easily justified the risks and sacrifices. The result was a powerful collaboration.

In a tremendous output of organizing energy, United Power provided: a postcard drive that produced 70,000 postcards addressed to the Governor and Speaker of the House; large and very public events, including a rally with over 2,000 people and a host of policymakers at the State of Illinois Building; connections to unlikely allies; excellent media work with both reporters and editors; strong turnout for Springfield activities, including at least two lobby days and numerous smaller meetings and events; effective and well-presented research; consistent gathering of powerful individual stories; and very active district level advocacy in suburban as well as city districts, directed at both parties, and including a drive that featured hundreds of people conducting door-to-door canvassing in key precincts of key legislators in the northwest and southwest suburbs. Among other things, United Power constituents produced on-the-record support for the initiative from eight suburban Republican state senators.

Straining its own resources, NCPL provided: a statewide ad hoc coalition in support of FamilyCare, including other grassroots operations from around the state, many of which had been organized over the years by policy advocacy organizations with long relationships with NCPL; leadership on the statewide effort including appearances at community meetings, editorial board meetings and press events all over the state; connections to legislators, policymakers, and allies; technical research and writing, production of campaign materials, and coordination of the statewide effort; and a "face" for the coalition that was known and trusted around the state and in Springfield.

FamilyCare was contained in a bill passed 115-0 by the House during the 2001 spring session. Like many initiatives in the past decade, because FamilyCare had not been included in the Governor's proposed budget, the Senate refused to consider it, bottling it up in committee. However, the issue remained alive in the budget negotiations. At a climactic United Power event in the Capitol during the last week of the session, Governor Ryan announced that he

now supported FamilyCare and would include it in his budget agenda. However, it was too late to sway legislative leaders locked into other priorities, and the final budget deal did not include FamilyCare. Importantly, NCPL quickly drafted a resolution calling on the Ryan Administration to formulate and seek the federal waiver that would allow access to federal funds for FamilyCare, and the House passed it as one of its last official actions of the session.

United Power, NCPL and the whole FamilyCare coalition had spent themselves intensely on this effort. The issue had moved during the session from a long shot big-ticket item with little formal support and no mention in the Governor's budget, to a clear majority of support among rank-and-file legislators, a set of firmly committed legislators willing to champion the program as their highest priority, public expression of support from the Governor backed by a direction from the House that he seek the waiver, and editorial support from all the major papers throughout the state. The result felt disappointing in the moment, but decisive momentum had been built.

The momentum from the 2001 session paid immediate dividends. The Governor's support was real, and, citing the House resolution, he instructed the Department of Public Aid to begin drafting and negotiating a federal waiver in late summer 2001. In February 2002, at an event hosted by United Power at a member church on Chicago's southwest side, Governor Ryan announced the formal filing of the waiver papers and his intent to include an appropriation for a program start-up in his 2003 fiscal year budget proposal, which he did the following week. Unlike 2001, the issue now had been included in the Governor's budget. The process in 2002 becomes one of protecting that appropriation and shepherding the wording of accompanying legislative changes through the budgetary process. The General Assembly approved the budget, and thus effectively established FamilyCare as a new program, in spite of the extremely difficult budget year. In September 2002, the federal government approved the Illinois waiver, and in October 2002 the program was launched. The initial phase will cover nearly 30,000 very low income people. In order to ramp the program up to its full capacity of serving about 300,000 people, Illinois will have to contribute about \$80 million. That task remains for the FamilyCare coalition.

The FamilyCare initiative contains lessons for productive relationships between issue advocates and community organizations, some of which are these:

- 1 *Community organizations and issue advocates can find important areas of agreement. They often want to accomplish the same goals. If they find a way to collaborate, the result can be very powerful, because of the complementary tools each possesses.*

- 2 *It is important for each to understand the other's essential purpose. For issue advocates, the organizing, while important in its own right, is in service to the accomplishment of the policy initiative. For organizers, the accomplishment of the policy initiative, while important in its own right, is in service to the organizing goals (developing leaders and building power for the long haul). In general terms, this understanding will contextualize and explain the areas of potential conflict, the types of accommodations each may demand of the other, and the basis for peaceful coexistence when the concerns of the organizations seem to compete. This can be confusing for issue advocates who do extensive community-based organizing in support of their issues—their core purpose is still the accomplishment of the issue goals and they still differ from organizing groups in that regard.*
- 3 *It is important that the initiative comes up through each organization's own agenda-setting processes. The willingness of each organization to cooperate will depend on the legitimacy of the issue in its own eyes. Organizations will not automatically sign onto preformed policy initiatives that have not gone through their own legitimizing processes.*
- 4 *A “good cop/bad cop” dichotomy can be useful. United Power constituents were intentionally demanding, and, at times, confrontational with their elected officials and through the media. NCPL was the friendly policy expert, of necessity on good terms with all points on the political spectrum. Both were necessary, and each organization understood the importance of the other's role. Additionally, this distribution of roles was consistent with each organization's understanding of the sources of its own power and tapped their strengths.*
- 5 *Constant and open communication, together with keeping of commitments, keeps the collaboration together. This is what builds the necessary level of trust to keep it glued. A very important factor in a successful collaboration of this type is that the organizations interact through leaders with the necessary understanding of the relationships and the policy process and the necessary level of mutual trust. Understanding the principles of these collaborations is very important, but the success or failure will depend on the actual interactions of individual leaders. □*

The Woods Fund of Chicago gratefully acknowledges the contribution of this narrative by John Bouman, Deputy Director for Advocacy at NCPL, Joshua Hoyt, an organizer for United Power during the events as described and currently Executive Director of the Illinois Coalition for Immigrant and Refugee Rights, and Matt McDermott, now an organizer for United Power and lead organizer for the Southwest Organizing Project during the events described.

grantee collaboration response

in opposition to predatory lending

The Illinois Coalition Against Predatory Home Loans, organized by the National Training and Information Center (NTIC), is a collaboration of community and other nonprofit organizations concerned about the impact of predatory lending on Illinois communities. The National Training and Information Center, the Woodstock Institute, the South West Organizing Project as well as other community groups, advocates, legal services, and housing counselors from across Illinois joined forces to affect the enactment of strict regulations on this predatory industry in the spring of 2001. They are now working hard to ensure the effective implementation of the regulations.

Combating predatory home mortgage lending requires a comprehensive approach. First, it is essential to understand the scope of the problem. The Coalition needed research on lending patterns, subprime markets, industry trends and foreclosures. Policy researchers, such as the Woodstock Institute, focused on conducting sound, practical research that responded to the fast growing problem and clearly described its consequences for policy makers and the interested public. The next step was educating and mobilizing people and policy makers. The South West Organizing Project (SWOP) and other community organizations had an “on the street” understanding of the problem and were in touch with a network of concerned residents. They brought that knowledge to enrich the data analyses. The third area is policy development. Combating predatory lending requires literacy training, the development of affordable alternative products, and most importantly, policy reforms, which name the biggest opportunity to protect vulnerable communities. NTIC did a little of all three steps, but focused on outreach and strategizing with groups to educate and mobilize people and policy makers. NTIC also developed maps showing a rise in foreclosures by subprime lenders, and worked with Neighborhood Housing Services and Legal Assistance Foundation to develop a list of reforms that became the basis for a city ordinance, state legislation, and state regulations. Coalition members worked together to effectively educate policy makers on the devastation caused by predatory lending and regulatory changes that would reduce the problem.

The Coalition exemplifies how applied research, community organizing, and policy development can come together to affect change. Coalition members combined information generated from sound research with policy development and organizing efforts. This strategy resulted in the establishment of new Illinois predatory home mortgage regulations. In fact, Illinois is one of the few states to enact tough predatory mortgage regulations. The Institute, SWOP, NTIC, and their colleagues worked very hard for these victories to stem the flood of home equity assets being stripped from lower-income and minority communities by predatory loans.

NTIC's mission is to make community organizing happen, in which local residents come together to take control of decisions that affect their lives. NTIC is committed to develop local, indigenous leadership within local organizations and to make sure the needs and voices of grassroots, neighborhood leaders are heard by decision makers. Since its inception in 1973, the Woodstock Institute has brought knowledge to bear on action to promote low-income communities' access to financial resources. The organization was founded in the belief that inventing solutions was more important to the lives of low-income people than the endless descriptions of problems. SWOP, an organization of 26 values-based institutions committed to promoting an economically stable, multi-ethnic community on the Southwest Side of Chicago, makes sure that the views of ordinary people are heard. It was the organized power and knowledge wielded by Coalition members that enabled the enactment of the new regulations. Such collaborations are needed to make corporations and state government agencies more responsive to lower-income people and communities.



The fight to protect vulnerable communities from predatory home mortgage lenders continues. Coalition members are working to ensure that the new regulations are being effectively implemented by the responsible state agencies. Since many large predatory home mortgage lenders are not covered by the Illinois regulations, the work continues to press for changes in federal policies. □



“Philanthropy
is commendable,
but it must not cause the philanthropist
to overlook the circumstances of
economic injustice that make
philanthropy necessary.”

Martin Luther King, Jr.

grants summary

2001

woods fund of chicago

| | |
|---|--------------------------------|
| Community Organizing | \$1,064,100 |
| Public Policy—Enabling Work and Reducing Poverty | 575,000 |
| Community Organizing and Public Policy— Community and Civic Issues | |
| <i>Public Policy and Planning</i> | 717,218 |
| <i>Housing, Jobs, Economic Development</i> | 375,000 |
| <i>Justice, Governance, Equal Opportunity</i> | 205,000 |
| Community Organizing and Public Policy—Education | 198,000 |
| Arts, Culture and the Humanities | 235,000 |
| Special Purpose Grants | 157,000 |
| <hr/> TOTAL GRANTS PAID <hr/> | <hr/> \$3,526,318 <hr/> |



GRANTS ARE UNRESTRICTED FOR GENERAL SUPPORT
AND FOR CHICAGO-BASED ORGANIZATIONS
UNLESS OTHERWISE DESCRIBED

grants paid during 2001

community organizing

PAID IN 2001

ARAB AMERICAN ACTION NETWORK

Toward the salary of a community organizer in a new initiative to develop leadership capacities of Arab youth and women on Chicago's southwest side 40,000

BICKERDIKE REDEVELOPMENT CORPORATION

For support of this near northwest side neighborhood-based community development corporation 30,000

BLOCKS TOGETHER

Continued support for an organization working in two near northwest side neighborhoods for improved security, city services, school improvement and concerns of local youth leadership 20,000

BRIGHTON PARK NEIGHBORHOOD COUNCIL

Continued support for a neighborhood-based community organizing project on Chicago's near southwest side uniting area residents, businesses and churches to address neighborhood safety, city services, capital improvements, housing and youth issues 30,000

CHICAGO ACORN

Fiscal Agent: American Institute for Social Justice, Inc.

Continued support for a citywide, neighborhood-based individual membership organization addressing local quality of life issues, school improvement, access to health care, living wage issues and leadership development 45,000

Capacity-building grant toward support of an in-house research/policy analyst position to strengthen organizing campaigns by assembling existing research into actionable form while designing and implementing original research projects 30,000

COMMUNITY ORGANIZING AND FAMILY ISSUES

Support to train and develop capacity among a small grassroots group of parent leaders to become proficient in policy making and constituency building processes 50,000

COMMUNITY ORGANIZING AWARD

Fiscal Agent: Wieboldt Foundation

Support for the 2002 Community Organizing Award and event, jointly sponsored by six foundations, to recognize outstanding work in the field of Community Organizing 30,000

DEVELOPING COMMUNITIES PROJECT

Restricted to the organizing activity of a multi-issue church-based organization on Chicago's far south side working on public safety, economic revitalization strategies, school improvement and youth services 25,000

GRASSROOTS COLLABORATIVE

Fiscal Agent: American Friends Service Committee

Continued support of a collaboration of ten Chicago area and statewide organizations to engage in collective public policy research, advocacy and action, and to recruit and train organizing interns and upgrade technological capacity 45,000

ILLINOIS COALITION FOR COMMUNITY SERVICES

Continued support for the Grassroots Leadership Development Project, a statewide effort to build leadership, advocacy and organizing skills in numerous rural and urban areas of Illinois 50,000

INTERFAITH LEADERSHIP PROJECT OF CICERO, BERWYN AND STICKNEY, INC.

For a multi-issue institution-based community organization addressing political reform, family literacy and neighborhood security in the near western suburbs 30,000

LAKEVIEW ACTION COALITION

To organize community involvement on issues of affordable housing for lower income residents in an affluent area, access to health care insurance and reduction of hate crimes by promoting tolerance and acceptance of diversity 25,000

LOGAN SQUARE NEIGHBORHOOD ASSOCIATION, INC.

Continued support for community organizing activities to engage neighborhood leaders on issues such as improving local schools, preserving affordable housing in a gentrifying community, and bringing young people into leadership roles 30,000

METRO SENIORS IN ACTION

For a citywide senior citizen membership organization doing grassroots organizing and public policy advocacy on issues of prescription drug reimbursement benefits, public transportation improvements, housing for people with disabilities, neighborhood security and coalition work with other local and statewide organizations 30,000

METROPOLITAN ALLIANCE OF CONGREGATIONS

To evaluate past and current practices, strategies and outcomes in their community and metropolitan organizing 32,600

For general operating support of ongoing organization building, leadership development and public policy related organizing of a metropolitan wide institution-based organization 60,000

METROPOLITAN TENANTS ORGANIZATIONS

For direct tenant organizing, public education, policy and outreach by a citywide tenants rights organization seeking to improve and preserve decent, affordable rental housing 25,000

MIDWEST ACADEMY

Second payment of a two-year \$75,000 grant for the Young Organizers Development Program, to recruit and train potential organizers and subsequently place and mentor them in established community organizations 35,000

Continued support of the Young Organizers Development Program 51,500

NATIONAL TRAINING AND INFORMATION CENTER

For ongoing organizing and policy advocacy of a national organization working in Illinois with two coalitions to halt predatory mortgage lending and assist those affected by this practice 40,000

NEAR NORTHWEST NEIGHBORHOOD NETWORK

Continued support to expand school reform, community development and faith-based community organizing efforts in the Humboldt Park community 30,000

NORTHWEST INDIANA FEDERATION OF INTERFAITH ORGANIZATIONS

For a metropolitan church-based organization working to establish a regional transportation authority and address other regional issues in northwest Indiana 40,000

NORTHWEST NEIGHBORHOOD FEDERATION

Continued support for a northwest side community organization addressing school improvement, crime reduction, job training, neighborhood stability, youth alternatives, leadership development and neighborhood capital improvements 30,000

ORGANIZATION OF THE NORTHEAST

For an institution-based community organization working in several lakefront communities to address affordable housing preservation and development, welfare reform and immigration, school improvement and improved youth-police relations 30,000

PUBLIC ACTION FOUNDATION

Continued support for a multiyear transportation campaign of statewide coalition building and public education to improve public transportation in underserved Illinois' communities 40,000

RESURRECTION PROJECT, THE

Continued support for the community organizing of a church-based community and economic development organization working in several southwest side predominantly Latino neighborhoods 20,000

SOUTH SUBURBAN ACTION CONFERENCE

General operating support for a church-based multi-issue community organization in Chicago's south suburbs addressing neighborhood stabilization, home ownership and metropolitan equity issues 30,000

SOUTHEAST LAKE COUNTY LATINO COMMUNITY ORGANIZATION PROJECT

Fiscal Agent: Family Service of South Lake County

Toward the salary of a community organizer working in a low- to moderate-income community in South Lake County, primarily on affordable housing issues 15,000

SOUTHWEST ORGANIZING PROJECT

Continued support for an institution-based, multi-issue community organization on Chicago's southwest side addressing violence reduction, housing preservation, school improvement and adult literacy 30,000

TARGET AREA DEVELOPMENT CORPORATION

To support neighborhood and church-based community organizing for reduction in violent crime, school truancy, creation of opportunities for youth and economic development in Chicago’s south side Gresham neighborhood 25,000

WEST TOWN LEADERSHIP UNITED

Fiscal Agent: Association House of Chicago

For a community organization working to address affordable housing preservation and school improvement in a predominantly Latino community 20,000

TOTAL COMMUNITY ORGANIZING \$1,064,100

public policy – enabling work and reducing poverty

CHICAGO JOBS COUNCIL

Support for advocacy, applied research, and public education to build policy coherence between state and local levels in the areas of welfare to work and workforce development 50,000

CHICAGO URBAN LEAGUE

Second payment of a two-year \$65,000 grant restricted to costs of a study of the labor market consequences of incarceration in Chicago and a subsequent public forum 25,000

COMMUNITY ASSISTANCE PROGRAM

Support for a demonstration project with Kennedy King College as a model of service coordination linked to vocational training programs for policy integration of community colleges with public and private workforce and welfare-to-work programs 30,000

ILLINOIS HUNGER COALITION

To support the Hunger Action Program, a community organizing and advocacy program to increase awareness and support for child nutrition programs and other state/federal nutrition programs 45,000

LEGAL ASSISTANCE FOUNDATION OF METROPOLITAN CHICAGO

Support of a full time attorney to lead the statewide advocacy coalition to expand eligibility of Unemployment Insurance benefits for working poor people 50,000

MIDWEST PARTNERS

Fiscal Agent: Work, Welfare and Families

To support a communications plan, including a video and materials with policy recommendations, for the 2001 debate to reauthorize the nation's welfare program 65,000

NATIONAL CENTER ON POVERTY LAW

Continued support of welfare policy development and advocacy to serve low-income people 100,000

NORTH LAWNSDALE EMPLOYMENT NETWORK

For continued support of the Ex-Offender Employment Service Network which supports public policy reforms and advocacy activities to improve the transition of ex-offenders into the community and improve employment outcomes 60,000

PAY DAY LOAN REFORM CAMPAIGN

Fiscal Agent: Public Action Foundation

Support for organizing, media outreach, policy research and public education components of the coalition's payday loan reform efforts in Illinois 40,000

PRISON ACTION COMMITTEE

Discretionary grant for a strategic planning process that will result in an organizational plan that incorporates a policy component 5,000

WOMEN EMPLOYED INSTITUTE

Second payment of a two-year \$100,000 grant for advocacy, policy and public education on an initiative to have a definition of how much income is required for a family to meet basic needs, the "Self Sufficiency Standard", become the basis for welfare to work and workforce development policy in Illinois 50,000

WORK, WELFARE AND FAMILIES

Continued support of the Working Opportunities Campaign, a project focused on targeted programs and policies that directly address the needs and barriers facing people moving from welfare to work and working poor families 55,000

TOTAL PUBLIC POLICY-ENABLING WORK AND REDUCING POVERTY \$575,000

community organizing and public policy – community and civic issues

Public Policy and Planning

CENTER FOR LABOR AND COMMUNITY RESEARCH

Continued support for a collaborative of nonprofit organizations and government agencies for advocacy and policy activities and development of a model to alleviate sweatshop conditions 25,000

CENTER FOR LAW AND HUMAN SERVICES, INC.

Continued support for the Tax Advocacy and Outreach Project, which promotes full use of tax benefits available to the working poor by urging government agencies and the private sector to conduct educational outreach and to identify other policy options to move low-wage workers out of poverty 30,000

CENTER FOR NEIGHBORHOOD TECHNOLOGY

Support for development of an update to the Citizen Transportation Plan that promotes broader citizen participation in regional transportation planning leading to community-supportive transportation investments 40,000

FIGHT CRIME: INVEST IN KIDS

Fiscal Agent: Action Against Crime and Violence Education Fund

To support work in Illinois communicating with the public and policy makers in support of youth development programs, quality daycare and other programs as deterrents to youth crime and violence 20,000

FRIENDS OF THE PARKS

To support the Neighborhood Parks Greening Initiative 2001 to create new and preserve existing parkland 25,000

GOOD JOBS FIRST

Fiscal Agent: Institute on Taxation and Economic Policy

For a research and advocacy project to assess the scope and effectiveness of State of Illinois public subsidies for private business 50,000

ILLINOIS ARTS ALLIANCE FOUNDATION

To support the public policy development, coalition building, advocacy training, education and research activities that promote broad understanding of the arts' impact on community, economic and development issues in Illinois 40,000

ILLINOIS COALITION FOR IMMIGRANT AND REFUGEE RIGHTS

To support a campaign to enable the local immigrant community to collaborate with nonprofit organizations, unions, religious institutions and businesses to advocate for passage of legalization legislation 25,000

ILLINOIS TAX ACCOUNTABILITY PROJECT

Fiscal Agent: Protestants for the Common Good

To support bipartisan statewide research and advocacy focused on tax and economic policy relating to poor and working class constituencies 80,000

LEGINFO.ORG CONSORTIUM

Toward the salary of a project manager to implement marketing and fundraising for an Internet-based initiative that provides nonpartisan summaries and analysis of pending state legislation on issues that include children, education, health care, growth management, political reform, poverty and transportation 40,000

METROPOLITAN PLANNING COUNCIL

To support a project aimed at stimulating local community involvement in the city of Chicago's zoning ordinance revision process 30,000

MIKVA CHALLENGE GRANT FOUNDATION, INC.

For the skill-building Public Policy Training Project to train Chicago high school students in how to develop and move public policy recommendations from community-based needs and aspirations 20,000

NORTHWESTERN UNIVERSITY, INSTITUTE FOR POLICY RESEARCH

Continued support of the Illinois Families Study conducted by a consortium of area universities, a six-year study of 1,362 families who are moving from welfare to work in order to track impact and effectiveness of welfare reform in Illinois 96,218

PEOPLE'S BUDGET PROJECT, THE

Fiscal Agent: Knox College

Support restricted to research, analyze, produce and disseminate a report of the Illinois state budget and the budget-making process 75,000

PROTESTANTS FOR THE COMMON GOOD

To advance their program agenda in the three core areas of welfare reform and poverty, affordable housing, and campaign finance reform by engaging church leaders from city and suburbs 40,000

PUBLIC INTEREST LAW INITIATIVE

Continued support for the Pro Bono Initiative, an effort to significantly increase low-income people’s access to equal justice through the expansion of pro bono legal work in the Chicago area 20,000

UNIVERSITY OF ILLINOIS AT CHICAGO, NATHALIE P. VORHEES CENTER FOR ECONOMIC AND COMMUNITY IMPROVEMENT

Final payment of a two-year \$52,000 grant toward the Illinois Assisted Housing Research and Action Project, an ongoing effort to develop a statewide database of all assisted housing in Illinois to improve affordable housing advocacy, organizing, and policy making 26,000

WOODSTOCK INSTITUTE

To support applied research, policy analysis, public education, and advocacy to defend and promote effective implementation of state rules on predatory mortgage and payday lending and to develop alternatives to the predatory products 35,000

SUBTOTAL \$717,218

Housing, Jobs, Economic Development

CHICAGO AREA FAIR HOUSING ALLIANCE

For a coalition-driven advocacy campaign to increase housing choice for metropolitan area, low-income families who are currently receiving rent subsidy vouchers 45,000

CHICAGO COALITION FOR THE HOMELESS

Continued support of the Day Labor Project, a collaborative effort to improve opportunities and conditions for homeless people and others employed by day labor companies 40,000

CHICAGO MUTUAL HOUSING NETWORK

Support for an advocacy and public communications campaign promoting affordable cooperative housing development for low-income Chicagoans 30,000

CHICAGO REHAB NETWORK

Second payment of a two-year \$200,000 grant restricted to the Housing Policy Analysis and Action Center of a citywide coalition of affordable housing developers and advocates 100,000

CHICAGO VIDEO PROJECT

Toward the production of a film documenting the history of race and housing in Chicago, examining the events that lead to and maintain residential segregation and helping to inform policy decisions as Chicago begins dismantling its massive public housing system 50,000

LAKEFRONT SINGLE ROOM OCCUPANCY CORPORATION

To support the tenant organizing and leadership development work of a citywide provider of quality and affordable supportive housing for Chicago’s homeless and low-income single adult population 25,000

LEADERSHIP COUNCIL FOR METROPOLITAN OPEN COMMUNITIES

A discretionary grant for the writing and presentation of a paper on race and housing patterns in the Chicago area at this organization’s June 2001 housing summit 5,000

NEIGHBORHOOD CONSERVATION CORPORATION

Fiscal Agent: Mid South Planning and Development Commission

A discretionary grant to develop a survey to enlist information from Chicago Housing Authority residents to give them a voice in determining their needs in order to make an effective transition out of public housing 5,000

STATEWIDE HOUSING ACTION COALITION

Continued support of constituency building, public education and policy advocacy for the ongoing “It Takes a Home to Raise a Child” campaign, a statewide homeless prevention effort 30,000

SUPPORTIVE HOUSING PROVIDERS ASSOCIATION

Fiscal Agent: Heartland Alliance for Human Needs and Human Rights

For the public education and advocacy efforts of this statewide association of twenty-five nonprofit supportive housing providers 25,000

WE THE PEOPLE MEDIA

Support for the Resident’s Journal, a publication for and by the residents of the Chicago Housing Authority, providing opportunities for these voices to inform the transformation of Chicago public housing and to be heard in public policy debates and civic life 20,000

SUBTOTAL \$375,000

Justice, Governance, Equal Opportunity

CHICAGO METROPOLIS 2020

For the Juvenile/Violence Initiative, an initiative to influence and change policies that impact criminal and violence issues using this organization as a vehicle to engage and involve the business community as advocates 50,000

ILLINOIS COALITION AGAINST THE DEATH PENALTY, THE

To support educational, coalition building, organizing and media activities related to the public debate on the death penalty in Illinois 40,000

JANE ADDAMS JUVENILE COURT FOUNDATION, THE

To support inclusive planning for and systematic reform of the Cook County Juvenile Court and expanded alternative approaches to result in better outcomes for children, families, and communities 25,000

JUVENILE JUSTICE INITIATIVE OF ILLINOIS

Fiscal Agent: Illinois Law-Related Education and Resource Network

Continued support to a statewide organization, focused on juvenile justice issues, serving as an information clearinghouse, building public understanding of effective practices in the field, and advocating for justice policies affecting children and youth 70,000

ROGER BALDWIN FOUNDATION OF THE A.C.L.U., INC., THE

Renewal support for the Juvenile Justice Initiative exploring conditions and pursuing improvement for children in the Illinois justice system, with particular focus on the Cook County Juvenile Temporary Detention Center 20,000

SUBTOTAL \$205,000

**TOTAL COMMUNITY ORGANIZING AND PUBLIC POLICY–
COMMUNITY AND CIVIC ISSUES** \$1,297,218

community organizing and public policy – education

CHICAGO ARTS PARTNERSHIP IN EDUCATION

Second payment of a two-year \$40,000 grant for an innovative collaboration of schools, community agencies, artists, arts organizations, and foundations promoting educational improvement by employing arts to enhance teaching and learning in the Chicago Public Schools 20,000

CHICAGO SCHOOL LEADERSHIP DEVELOPMENT COOPERATIVE

For a collective initiative by community organizations to expand and enhance the effectiveness of organizing for school improvements and for participation in local school governance 30,000

CROSS CITY CAMPAIGN FOR URBAN SCHOOL REFORM

Support for the Chicago work of a national network of school reform leaders from nine cities working together to improve public schools and education for urban young people 20,000

NORTH LAWDALE LEARNING COMMUNITY

Capacity building grant to strengthen partnerships between parents, teachers and principals aimed at enhancing classroom learning in an eleven-school network 50,000

PARENTS UNITED FOR RESPONSIBLE EDUCATION

First payment of a two-year \$40,000 grant to an organization working with parents and community members to offer training, communications, and advocacy in support of school-based management of the Chicago Public Schools 20,000

PARTNERS IN EDUCATION

Discretionary grant in recognition of Dr. Cynthia Campbell's contribution of services to the Woods Fund as a director 8,000

SMALL SCHOOLS WORKSHOP

Fiscal Agent: University of Illinois at Chicago

Support for an organizer position with primary responsibility to network Chicago Small Schools entities under a new umbrella network, the Metro Alliance of Small Schools 50,000

TOTAL EDUCATION \$198,000

arts, culture and the humanities

ABOUT FACE THEATRE

To support artistically challenging and socially engaging productions and programs that represent and respond to gay and lesbian lives, histories and experiences 10,000

ART RESOURCES IN TEACHING

Second payment of a three-year \$30,000 grant for general operating support of an art education program for elementary children in Chicago Public Schools that incorporates visual art instruction into the academic curriculum and is delivered to an estimated 30,000 children during the school year 10,000

BLACK ENSEMBLE THEATRE CORPORATION

Third payment of a three-year \$30,000 grant for a group highlighting African-American playwrights and themes and promoting cultural interaction from a north side Chicago location 10,000

BOULEVARD ARTS CENTER

To support programs in professional instruction, production, and presentation in the visual literary and performing arts, serving the Englewood, West Englewood New City and Back of the Yards communities 10,000

CHICAGO PUBLIC ART GROUP

Final payment of a three-year \$30,000 grant to engage students and others in creating collaborative, community-involved public art works such as murals 10,000

CHICAGO THEATRE COMPANY, THE

Final payment of a three-year \$30,000 grant to a theater producing plays by African-American playwrights 10,000

COMMUNITY FILM WORKSHOP, THE

Second payment of a three-year \$45,000 grant to support the Media Arts and New Technologies Project, a year-round after-school arts and peer leadership program for at-risk youth in underserved communities 15,000

GUILD COMPLEX

First payment of a three-year \$30,000 grant for a multi-ethnic presenting organization that sponsors readings, discussions, videos, music performances and exhibitions to promote literary cross-cultural exchange and education 10,000

JAPANESE AMERICAN SERVICE COMMITTEE OF CHICAGO

First payment of a three-year \$22,500 grant for support of the Legacy Center that appraises, collects, organizes and preserves historical resources from the Japanese American community in the Chicago metropolitan area, serving as a repository for reference, research and scholarship 7,500

JAZZ INSTITUTE OF CHICAGO, INC.

To support the Crespuscle and Cultural Geneology projects that foster interaction among youth, artists, neighbors, businesses and cultural institutions as a means to address community alienation and bridge cultural and ethnic divides 12,500

JOEL HALL DANCE CENTER

Fiscal Agent: Chicago City Theatre Company

First payment of a three-year \$45,000 grant for a professional dance company and teaching facility that focuses on developing Chicago's talent pool, especially among racial and ethnic minorities 15,000

LIFELINE THEATRE

First payment of a three-year \$30,000 grant for a theater company that specializes in the production both of original adaptations of classic literature and new works, aimed to audiences of children and families 10,000

LIRA ENSEMBLE, THE

Second payment of a three-year \$30,000 grant to the nation's only performing arts company specializing in Polish music, song and dance 10,000

LITTLE BLACK PEARL WORKSHOP

General operating support for arts education programs to underserved communities on Chicago's south side, which incorporates exposure to art and culture while teaching the intersections of art, business, and education 15,000

LOOKINGGLASS THEATRE COMPANY

Final payment of a three-year \$30,000 general operating grant for a theater ensemble that produces new works for Chicago audiences 10,000

MUSIC THEATRE WORKSHOP

Final payment of a three-year \$30,000 general operating grant to develop life-based dramas with young people as a way to help them come to terms with community and personal issues and choices 10,000

NEO-FUTURISTS, THE

Second payment of a three-year \$30,000 grant for a neighborhood-based experimental theater company whose work is highly interactive and issue-oriented 10,000

PEOPLE'S MUSIC SCHOOL, INC., THE

First payment of a three-year \$37,500 grant to this community-based institution providing free music lessons to young people and adults in the ethnically diverse Uptown area of Chicago 12,500

PROS ARTS STUDIO

First payment of a three-year \$37,500 grant for a neighborhood-based organization providing art instruction to children through schools and community institutions in a near west side Latino neighborhood 12,500

REDMOON THEATRE

First payment of a three-year \$30,000 grant for a theater company that employs masks, puppetry and public spectacle to bridge ethnic, cultural and generational boundaries and link artists with communities 10,000

SCT PRODUCTIONS

Final payment of a three-year \$30,000 grant to manage and present a range of arts productions at the New Athenaeum Theatre 10,000

SOUTH SHORE CULTURAL CENTER

Fiscal Agent: Black United Fund of Illinois

Discretionary support for the 2001 South Shore Jazz and Heritage Festival 5,000

TOTAL ARTS, CULTURE AND THE HUMANITIES \$235,000

special purpose grants

AMERICAN FORUM

Continued support of the Illinois Editorial Forum, a convening of nonprofit leaders who select topics and receive technical assistance to develop and place opinion pieces in press throughout the state 30,000

COMMUNITY MEDIA WORKSHOP

To support the construction of a website service for area journalist designed to provide knowledge and understanding of area communities, urban issues, and individuals who are working to address those issues 30,000

CROSSROADS FUND

Discretionary grant to the Critical Response Fund, a pool of funds from local foundations in support of Chicago communities impacted by September 11, 2001 terrorism and current international conflict 5,000

HISPANICS IN PHILANTHROPY

To support the Midwest Regional Conference, "Latinos in the Midwest: Enhancing Capacity and Building Community", of this 450-member national association of grantmakers 1,000

KARTEMQUIN EDUCATIONAL FILMS

Towards production of the film "New Americans" that documents two years in the lives of some immigrants and refugees 10,000

STREETWISE, INC.

First payment of a two-year \$70,000 grant to support an award-winning, biweekly publication providing employment opportunities for Chicago's homeless and unemployed population 35,000

TRINITY UNITED CHURCH OF CHRIST

Discretionary grant in recognition of Barack Obama's contribution of services to the Woods Fund as a director 6,000

UNIVERSITY OF ILLINOIS AT SPRINGFIELD

Discretionary grant for Illinois issues, restricted to the public communication work for the Illinois Civic Engagement Project 5,000

UNIVERSITY OF ILLINOIS, CENTER FOR YOUTH AND SOCIETY

General operating support for a center to create an interdisciplinary approach to youth education and to redefine the role of youth worker through education, training and advocacy 35,000

TOTAL SPECIAL PURPOSE \$157,000

total grants paid

2001 WOODS FUND OF CHICAGO \$3,526,318

financial highlights

WOODS FUND OF CHICAGO

BALANCE SHEET

DECEMBER 31, 2001

| | <i>Book Value</i> | <i>Fair Market Value</i> |
|------------------------|-------------------|--------------------------|
| Total Assets | \$58,830,752 | \$80,599,622 |
| Total Liabilities | \$ 0 | \$ 0 |
| Principal Fund Balance | \$58,830,752 | \$80,599,622 |

REVENUE AND EXPENSES

YEAR ENDED DECEMBER 31, 2001

Revenue

| | |
|-------------------------------------|------------------|
| Income from temporary cash balances | \$ 261,757 |
| Income from investments | 914,981 |
| Other income | 165,569 |
| Realized gain on investments | <u>7,267,915</u> |
| Total Revenue | \$8,610,222 |

Expenses

| | |
|---------------------|----------------|
| Grants paid | \$3,526,318 |
| Operating expenses | 1,019,164 |
| Investment expenses | 277,075 |
| Taxes | <u>152,000</u> |
| | \$4,974,557 |

Complete financial statements with the auditor's report for the calendar year 2001 are available for inspection at the Woods Fund of Chicago office.

guidelines

Traditionally, Woods Fund has included funding guidelines in the annual report, however they do not appear this year. We expect new guidelines, based on the outcomes of our current strategic planning process, to be reviewed and approved by the Board of Directors in December 2002. The new guidelines will take effect January 1, 2003. They will be available on the internet at www.woodsfund.org or by mail. To receive a copy by mail, please contact our office at 312-782-2698, ext. 100.

feedback

As we implement our strategic directions and our new guidelines, we welcome feedback, thoughts, suggestions, and concerns. Please contact us by e-mail directed to dclark@woodsfund.org or by mail to:

Deborah Clark
Woods Fund of Chicago
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contact information

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